

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re The Application of:	
Hilarie Orman et al.)
) Examiner: Not yet assigned
Serial No.: Not yet assigned	
)
Filed: January 23, 2002) Art Unit: Not yet assigned
)
For: SYSTEM AND METHOD FOR)
TRANSPARENT TAKEOVER OF)
TCP CONNECTIONS BETWEEN)
SERVERS	
	Cesari and McKenna, LLP
	88 Black Falcon Avenue
	Boston, MA 02210
	January 23, 2002
"Express Mail" Mailing-Label Number:	EL 705610127 US
Express Man Mannig-Laver Number.	EE 703010127 03
Honorable Assistant Commissioner for Pate	onto
Washington D.C. 20231	CIIIS

INFORMATION-DISCLOSURE STATEMENT

Sir:

In keeping with the duty of candor and good faith owed to the Patent and Trademark Office, Applicants wish to bring to the Examiner's attention the references listed on the accompanying form PTO-1449. A copy of each listed reference is enclosed.

To the extent required by 37 C.F.R. §1.98(a)(3), Applicants have described what they consider to be the relevance of any foreign-language reference. It is understood that the Office may find additionally relevant material in these or other references.

Please charge any additional fee occasioned by this paper to our Deposit Account No. 03-1237.

Respectfully submitted,

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PTO/SB/08A (08-00)

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Substitut	te for form 1449A/PT	0		Complete if Known		
		_		Application Number	Not yet assigned	
INFORMATION DISCLOSURE				Filing Date	January 23, 2002	
STATEMENT BY APPLICANT		First Named Inventor	Hilarie Orman et al.			
				Group Art Unit	Not yet assigned	č
(use as many sheets as necessary)			necessary)	Examiner Name	Not yet assigned	
Sheet	1	of	2	Attorney Docket Number	112024-0086	7

U.S. PATENT DOCUMENTS U.S. Patent Document								
Examiner Initials * Cite No.1		Number Kind Code ² (if known)		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	1	5,642,511		Chow et al.	06/24/1997			
	2	5,784,566		Viavant et al.	07/21/1998			
	3	5,924,116		Aggarwal et al.	07/13/1999			
	4	5,961,593		Gabber et al.	10/05/1999			
	5	5,964,891		Caswell et al.	10/12/1999			
	6	5,999,734		Willis et al.	12/07/1999			
	7	6,014,667	İ	Jenkins et al.	01/11/2000			
	8	6,018,619		Allard et al.	01/25/2000			
	9	6,112,228		Earl et al.	08/29/2000			
	10	6,151,688		Wipfel et al.	11/21/2000			
	11	6,185,598	B1	Farber et al.	02/06/2001			
	12	6,185,625	B1	Tso et al.	02/06/2001			
	13	6,229,809		Murphy et al.	05/08/2001			
	14	6,330,605	B1	Christensen et al.	12/11/2001			
					<u> </u>			

	FOREIGN PATENT DOCUMENTS								
Examine	_	Fo	oreign Patent Do	cument	Name of Patentee or	Date of Publication of	Pages, Columns, Lines,		
r Initials*	Cite No.1	Office ³	Number ⁴	Kind Code ⁵ (if known)	Applicant of Cited Document	Cited Document MM- DD-YYYY	Where Relevant Passages or Relevant Figures Appear	T ₆	
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Substitut	te for form 1449A/PTC)		Complete if Known		
INIEC	ADRA TION	DIE	CLOSUBE	Application Number	Not yet assigned	
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SIA	IEMENI B	YA	PPLICANT	First Named Inventor	Hilarie Orman et al.	
				Group Art Unit	Not yet assigned	
(use as many sheets as necessary)			necessary)	Examiner Name	Not yet assigned	
Sheet	2	of	2	Attorney Docket Number	112024-0086	

	OTHER PRIOR ART NON PATENT LITERATURE DOCUMENTS						
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.					
	1	United States Pending Patent Application for a PROXY CACHE CLUSTER, Serial No.: 09/195,982, Filed: November 19, 1998, by Christensen et al.					
	2	United States Pending Patent Application for a SYSTEM AND METHOD FOR PARTITIONING ADDRESS SPACE IN A PROXY CACHE SERVER CLUSTER, Serial No.: 09/877,918, Filed: June 7, 2001, by R.D. Major et al.					
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